

10-18-01

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October 15, 2001

Attorney Docket No.: 08919-016003

## Box Patent Application

Commissioner for Patents

Washington, DC 20231

Presented for filing is a new continuation patent application of:

Applicant: CHEN-KUN JAMES SHEN

Title: HS-40 ENHANCER-CONTAINING VECTOR

The prior application is assigned of record to Academia Sinica, a Taiwan corporation, by virtue of an assignment submitted to the Patent and Trademark Office and recorded on May 17, 2000 at 010830/0270.

Enclosed are the following papers, including those required to receive a filing date under 37 CFR §1.53(b):

	<u>Pages</u>
Specification	13
Claims	5
Abstract	1
Declaration	1

### Enclosures:

- Preliminary Amendment, 7 pages.
- A true copy of the priority application as filed.
- Nucleotide and/or amino acid sequence listing including:  
Request Under 37 CFR §1/821(e) To Transfer Computer Readable Form.  
Paper copy, 2 pages.

### CERTIFICATE OF MAILING BY EXPRESS MAIL

Express Mail Label No. EL485515380US

I hereby certify under 37 CFR §1 10 that this correspondence is being deposited with the United States Postal Service as Express Mail Post Office to Addressee with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, Washington, D C 20231

Date of Deposit

October 15, 2001

Signature

*Samantha Bell*

Samantha Bell

Typed or Printed Name of Person Signing Certificate

10/15/01  
1525 U.S. PTO

Frederick P. Fish  
1855-1930

W.K. Richardson  
1859-1951



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11002 U.S. PTO  
09/977432  
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- Small entity statement. This application is entitled to small entity status.
- Postcard.

This application is a continuation (and claims the benefit of priority under 35 USC 120) of U.S. application serial no. 09/205,015, filed December 4, 1998 and U.S. application serial no. 09/536,094, filed March 24, 2000). The disclosure of the prior application is considered part of (and is incorporated by reference in) the disclosure of this application.

Basic filing fee	\$370
Total claims in excess of 20 times \$9	\$90
Independent claims in excess of 3 times \$42	\$0
Fee for multiple dependent claims	\$0
Total filing fee:	\$460

A check for the filing fee is enclosed. Please apply any other required fees or any credits to deposit account 06-1050, referencing the attorney docket number shown above.

If this application is found to be incomplete, or if a telephone conference would otherwise be helpful, please call the undersigned at (617) 542-5070.

Kindly acknowledge receipt of this application by returning the enclosed postcard.

Please send all correspondence to:

Y. ROCKY TSAO  
Fish & Richardson P.C.  
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Boston, Massachusetts 02110-2804

Respectfully submitted,



Y. Rocky Tsao  
Reg. No. 34,053

YRT/dmn  
Enclosures

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Chen-Kun James Shen                      Art Unit : Unknown  
Serial No. : Unknown                                      Examiner : Unknown  
Filed : September 26, 2001  
Title : HS-40 ENHANCER-CONTAINING VECTOR

Commissioner for Patents  
Washington, D.C. 20231

**REQUEST UNDER 37 C.F.R. §1.821(e) TO TRANSFER**  
**COMPUTER READABLE FORM**

The Sequence Listing being filed herewith in the above-referenced continuation application, is identical with that filed in U.S. Serial No. 09/205,015, from which this present application claims priority. In accordance with 37 C.F.R. §1.821(e), please use the computer readable form filed in U.S. Serial No. 09/205,015 as the computer readable form for the instant application.

For your convenience, enclosed is a paper copy of the Sequence Listing filed in U.S. Serial No. 09/205,015.

Please apply any charges or credits to deposit account 06-1050.

Respectfully submitted,

Date: 10-15-01

Y. Rocky Tsao  
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